

# Quasi-conformal deformation theory and the Measurable Riemann Mapping Theorem

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# Basics about holomorphic maps

Recall some facts about holomorphic maps. If

$$z = x + iy$$

$$f(z) = u(x, y) + iv(x, y)$$

then

$$f'(z) = u_x + iv_x = v_y - iu_y.$$

Considered as a function from  $\mathbb{R}^2$  to  $\mathbb{R}^2$ , the derivative is

$$\begin{pmatrix} u_x & u_y \\ v_x & v_y \end{pmatrix} = \begin{pmatrix} u_x & -v_x \\ v_x & u_x \end{pmatrix}$$

If  $f'(z) \neq 0$  then  $u_x^2 + v_x^2 \neq 0$ . Lengths are not usually preserved, but angles are.

The action of the derivative at  $z_0$  is multiplication by  $f'(z_0)$ .

Conversely, suppose that  $f : U(\subset \mathbb{R}^2) \rightarrow \mathbb{R}^2$  is continuous, and continuously differentiable except at finitely many points, and the derivative  $Df$  is invertible, has positive determinant and preserves angles except at finitely many points. Write  $f = (u, v)$ . The derivative  $Df$  is

$$\begin{pmatrix} u_x & u_y \\ v_x & v_y \end{pmatrix}$$

If angles are to be preserved then this must be of the form

$$\begin{pmatrix} r \cos \theta & -r \sin \theta \\ r \sin \theta & r \cos \theta \end{pmatrix}$$

So the Cauchy-Riemann equations

$$u_x = v_y,$$

$$v_x = -u_y$$

are satisfied, and hence  $f$  is holomorphic, except possibly at finitely many points. But since  $f$  is continuous, any singularities are removable and  $f$  is holomorphic on  $U$ .

# How to write Riemannian metrics in the plane

The usual classical form of writing a Riemannian metric in the plane is

$$adx^2 + 2b dx dy + c dy^2$$

where  $a$ ,  $b$ ,  $c$  are real-valued functions of  $(x, y)$ , and the symmetric matrix

$$\begin{pmatrix} a & b \\ b & c \end{pmatrix}$$

is positive definite. For this we need

$$a + c > 0,$$

$$ac - b^2 > 0.$$

The classical notation is suggested by the formula for the length of a curve  $(x(t), y(t))$  ( $t \in I$ ) in this metric:

$$\int_I \sqrt{a(dx/dt)^2 + 2b(dx/dt)(dy/dt) + c(dy/dt)^2} dt$$

# Field of Ellipses

A  $2 \times 2$  symmetric positive definite matrix  $A$  defines an ellipse with equation

$$(x \ y) A \begin{pmatrix} x \\ y \end{pmatrix} = 1$$

The constant on the righthand side is unimportant. Note that

$$A = P^T \Delta P,$$

with  $P$  orthogonal and  $\Delta$  diagonal. Interchanging the rows of  $P$  if necessary, we can assume that  $P$  has determinant 1.

Then we get the standard form

$$(X \ Y) \Delta \begin{pmatrix} X \\ Y \end{pmatrix} = 1$$

for the ellipse by making the change of variable

$$\begin{pmatrix} X \\ Y \end{pmatrix} = P \begin{pmatrix} x \\ y \end{pmatrix}$$

The major and minor axes of the ellipse are orthogonal to each other and are given by the columns of  $U$  (not necessarily in that order) provided the eigenvalues of  $A$  are distinct.

This association of an ellipse (up to scale) to each point in the domain is called a *field of ellipses*. The major axis at each point — up to direction — gives a *line field*. It is undefined when the eigenvalues of  $A$  are equal.

## Complex form of a Riemannian metric

In formulating the measurable Riemann mapping theorem it is more convenient to write the metric  $adx^2 + 2bdxdy + cdy^2$  in another form:

$$\lambda|dz + \mu d\bar{z}|^2 = \lambda|\mu| \cdot |\bar{\mu}^{-1} d\bar{z} + dz|^2$$

where  $\lambda > 0$  and  $|\mu| < 1$  and  $\lambda$  and  $\mu = \mu_1 + i\mu_2$  are functions of  $z$ . the function  $\mu$  is called the *Beltrami differential* (of the Riemannian metric). To get between the two:

$$2\lambda\mu_2 = b,$$

$$\lambda(1 + |\mu|^2 + 2\mu_1) = a,$$

$$\lambda(1 + |\mu|^2 - 2\mu_1) = c.$$

Then

$$ac - b^2 = \lambda^2(1 - |\mu|^2)^2$$

and

$$\frac{ac - b^2}{(a + c)^2} = \frac{1 - |\mu|^2}{1 + |\mu|^2}.$$

So  $\mu$  is bounded from 1 if the ratio of the eigenvalues of  $A$  is bounded above and below, where

$$A = \begin{pmatrix} a & b \\ b & c \end{pmatrix}$$

There is a relation between the argument of  $\mu(z)$  and the major axis of the ellipse associated to the metric at  $z$ . If  $\pm v$  is the direction of the major axis then

$$\arg(\mu) = \arg(v^{-2}).$$

## Transforming Riemannian metrics

If  $f : U \rightarrow V$  is a diffeomorphism between open subsets of  $\mathbb{R}^2$ , and  $\sigma$  is a Riemannian metric on  $V$  then we can define a Riemannian metric  $f^*\sigma$  on  $U$  by the following formula. If  $\sigma$  is given in classical terminology by  $adx^2 + 2bdxdy + cdy^2$  then  $f^*\sigma$  is given by

$$(dx \quad dy) Df^T \begin{pmatrix} a & b \\ b & c \end{pmatrix} Df \begin{pmatrix} dx \\ dy \end{pmatrix}$$

where  $Df$  is the  $2 \times 2$  matrix representing the derivative. If  $l_1(\gamma_1)$  denotes length of a path  $\gamma_1$  with respect to  $\sigma$  and  $l_2(\gamma_2)$  denotes length of a path  $\gamma_2$  with respect to  $f^*(\sigma)$  then

$$l_2(\gamma) = l_1(f \circ \gamma)$$

This follows from the definition of  $f^*\sigma$  and the chain rule for differentiating  $f \circ \gamma$ .

Note that  $f^*$  is a contravariant functor, that is

$$(f \circ g)^* \sigma = g^* f^* \sigma$$

(where defined).

## Transforming the standard metric in the complex notation

The standard metric  $\sigma_0$  is  $dx^2 + dy^2 = |dz|^2$ . Suppose that  $f : U \rightarrow V$  is a diffeomorphism between open subsets  $U$  and  $V$  of  $\mathbb{C}$ . So  $f$  is a complex-valued function on a complex domain, and the same is true for the partial derivatives  $f_x$  and  $f_y$ . Write

$$f_z = \frac{1}{2}(f_x - if_y)$$

$$f_{\bar{z}} = \frac{1}{2}(f_x + if_y)$$

If  $f$  is holomorphic, then, by the Cauchy-Riemann equations,  $f_z = f'$  and  $f_{\bar{z}} = 0$ . Write

$$dz = dx + idy$$

$$d\bar{z} = dx - idy$$

Then

$$f_x dx + f_y dy = f_z dz + f_{\bar{z}} d\bar{z}$$

Then  $f^* \sigma_0$  is given by

$$\begin{aligned} |f_x dx + f_y dy|^2 &= |f_z dz + f_{\bar{z}} d\bar{z}|^2 \\ &= |f_z|^2 \left| dz + \frac{f_{\bar{z}}}{f_z} d\bar{z} \right|^2 \end{aligned}$$

# Transforming fields of ellipses and Beltrami differentials

If  $\sigma_0$  is the standard metric  $dx^2 + dy^2 = |dz|^2$  and  $g$  is holomorphic then write

$$f^* \sigma_0 = \lambda_1 |dz + \mu_1 d\bar{z}|^2$$

$$g^* f^* \sigma_0 = \lambda_2 |dz + \mu_2 d\bar{z}|^2$$

Then

$$\mu_2 = \frac{\overline{g(z)}}{g(z)} \mu_1 \circ g$$

$$\lambda_2 = |g'| \lambda_1 \circ g$$

In particular,

$$\|\mu_2\|_\infty = \|\mu_1\|_\infty.$$

Also since

$$D(f \circ g)^T D(f \circ g) = Dg^T (Df^T Df) Dg$$

the major and minor axes for the ellipse at  $z$  for  $g^* f^* \sigma_0$  map under  $Dg$  to those for  $f^* \sigma$ . If the major axis of the ellipse at  $z$  for  $g^* f^* \sigma_0$  is in the direction of  $\pm v$  ( $v \in \mathbb{C}$ ) then the direction for  $f^* \sigma_0$  at  $g(z)$  is  $\pm g'(z)v$ .

# The Riemann Mapping Theorem

Write

$$D = \{z : |z| < 1\}$$

The classical Riemann mapping theorem (easy version) says that if  $U$  is an simply connected proper open subset of  $\mathbb{C}$ , then there exists a holomorphic bijection  $\varphi : U \rightarrow D$ .

One way to prove this (not the easiest) would be to find an orientation-preserving diffeomorphism  $g : D \rightarrow U$ , giving rise to a Riemannian metric  $g^* \sigma_0$  on  $D$ . As before,  $\sigma_0$  denotes the standard metric  $|dz|^2$  on  $U$  (or on any domain in  $\mathbb{C}$ ). Then suppose we can find an o-p diffeomorphism  $f : D \rightarrow D$  with

$$f^* \sigma_0 = \lambda g^* \sigma_0$$

for a strictly positive function  $\lambda$ . Then

$$(g^{-1})^* f^* \sigma_0 = (f \circ g^{-1})^* \sigma_0 = \lambda \sigma_0$$

So

$$D(f \circ g^{-1})^T D(f \circ g) = \lambda I$$

Then  $D(f \circ g^{-1})$  must be a multiple of an orthogonal matrix and of positive determinant. So the partial derivatives of  $f \circ g^{-1}$  satisfy the Cauchy-Riemann equations, and  $f \circ g^{-1} : U \rightarrow D$  is holomorphic.

# The Measurable Riemann Mapping Theorem

This theorem has a long history. The version usually now used is that of L. Ahlfors and L. Bers in *Annals of Math.*, 72 (1960), 385-404. There are versions for  $\mathbb{C}$ ,  $\overline{\mathbb{C}}$  and the unit disc  $D$ . Let  $U$  be any one of these three.

**Theorem 1** *Suppose that  $\mu \in L^\infty(U)$  with  $\|\mu\|_\infty < 1$ . Then there exists a homeomorphism  $f : U \rightarrow U$  which is differentiable a.e., with partial derivatives locally  $L^p$  for some  $p > 2$  and*

$$\frac{f_{\bar{z}}}{f_z} = \mu$$

*That is, for some  $\lambda > 0$*

$$f^* \sigma_0 = \lambda |dz + \mu d\bar{z}|^2.$$

*Moreover  $f$  is unique up to left composition with a Möbius transformation.*

Such a homeomorphism  $f$  is **quasi-conformal** (and o-p). It is holomorphic if  $\mu = 0$  a.e.

# Quasi-conformal Maps

The standard reference is Ahlfors' book  
*Lectures on Quasiconformal mappings*

Take  $d$  to be the Euclidean metric if  $D = \mathbb{C}$  or  $D$  and the spherical metric if  $U = \overline{\mathbb{C}}$ . Let  $B(z, r)$  denote the ball of radius  $r$  centred on  $z$  in this metric. The simplest topological definition for a quasiconformal map is the following.  $f : U \rightarrow U$  is quasiconformal if it is a homeomorphism and there exists a constant  $K_1$  such that for all  $z \in U$  and each ball  $B(z, r)$ , there is  $r_1$  such that

$$B(f(z), r_1) \subset f(B(z, r)) \subset B(f(z), K_1 r_1)$$

Ahlfors gives two definitions which are equivalent to this, and he proves their equivalence, but neither of them is this definition (for good reason).

## Modulus of a topological rectangle

Any closed topological disc  $R$  in the plane with four marked points  $x_i$  ( $1 \leq i \leq 4$  in anticlockwise direction) on the boundary is homeomorphic to a rectangle, with the four marked points mapping to the vertices. So  $R$  can therefore be referred to as a *topological rectangle*. A strengthening of the Riemann mapping theorem implies that this homeomorphism can be realised by a map which is holomorphic on the interior. For unique numbers  $a > 0$ ,  $b > 0$  there is a homeomorphism

$$\varphi : R \rightarrow \{x + iy : 0 \leq x \leq a, 0 \leq y \leq b\}$$

which is holomorphic on the interior of  $R$  and mapping  $x_1$  to  $0$ ,  $x_2$  to  $a$ ,  $x_3$  to  $a + ib$ , and  $x_4$  to  $ib$ .  $a/b$  is then defined to be the *modulus*  $\text{mod}(R)$  of  $R$ .

## Ahlfors' definitions

**Definition 1** A homeomorphism  $\varphi : U \rightarrow U$  is  $K$ -quasiconformal if for any topological rectangle  $R$

$$\frac{\text{mod}(R)}{K} \leq \text{mod}(R) \leq K \text{mod}(R).$$

**Definition 2** A homeomorphism  $\varphi : U \rightarrow U$  is  $K$ -quasiconformal if partial derivatives  $f_x, f_y$  exist a.e. in  $U$ , and are locally  $L^1$  along a.e. horizontal line in  $U$ , and a.e. vertical line in  $U$ , and

$$|f_{\bar{z}}| \leq k |f_z|$$

where

$$k = \frac{K-1}{K+1}.$$

# Continuity, Differentiability, and Holomorphicity

The Ahlfors Bers paper is famous for results about families of Beltrami differentials which vary continuously, differentiably or holomorphically. We keep to the notation of Theorem 1.

**Theorem 2** *Let  $\lambda \rightarrow \mu_\lambda : \Lambda \rightarrow L^\infty(U)$  ( $\lambda \in \Lambda$  be a continuous family of Beltrami differentials with  $\|\mu_\lambda\|_\infty \leq k$  for some  $k < 1$ . Then  $\lambda \rightarrow f_{\mu_\lambda}$  is:*

- ▶ *locally uniformly continuous in  $C(U)$*
- ▶ *locally Hölder on  $C^\alpha(U)$  for some  $\alpha > 0$*
- ▶ *the partial derivatives  $(f_{\mu_\lambda})_x$  and  $(f_{\mu_\lambda})_y$  are continuous in the local  $L^p$  topology.*

*If  $\lambda \rightarrow \mu_\lambda : \Lambda$  is locally uniformly differentiable/holomorphic in  $L^\infty$ , then  $\lambda \rightarrow f_{\mu_\lambda}$  is differentiable/holomorphic with respect to the same list of seminorms.*

In particular this theorem implies that if  $\lambda \rightarrow \mu_\lambda : \Lambda \rightarrow L^\infty(U)$  is continuous/holomorphic, then so is

$$\lambda \rightarrow f_{\mu_\lambda}(z) : \Lambda \rightarrow U$$

for each  $z \in U$ .