

# Scent, Mate Choice and Genetic Heterozygosity

Michael D. Thom, Paula Stockley, Robert J. Beynon and Jane L. Hurst

**Abstract** Females of many species choose to mate with relatively unrelated males in order to ensure outbred, heterozygous offspring. There is some evidence to suggest that the MHC is involved in mate choice decisions, either because MHC heterozygous offspring are more resistant to disease, or because the highly detectable odours associated with this region allow it to act as a marker of general inbreeding. To determine which role the MHC plays it is necessary to disentangle this region from the genetic background, a requirement which has generally proven difficult to achieve. We argue that the emphasis on MHC's role in mate choice has resulted in other potential markers of inbreeding being neglected, and discuss the evidence for MHC disassortative mating, the interaction with genetic background, and a possible role for alternative markers of inbreeding.

## 1 Introduction

Female animals generally favour some males over others as potential mating partners, and those allowed to mate with their preferred male often have greater fitness than when mated with a non-preferred alternative (Drickamer, Gowaty and Holmes 2000; Persaud and Galef 2005). One way in which choosy females may improve their fitness is by selecting males that will produce genetically superior offspring (see Byers and Waits 2006 for a recent example). These males may either provide good genes that improve offspring fitness traits, or they may be relatively more compatible with the choosing female's genotype (Zeh and Zeh 1996; Brown 1997; Tregenza and Wedell 2000; Mays and Hill 2004). While good genes effects are additive and broadly speaking are expressed independently of the maternal genome, compatibility effects are nonadditive and are determined by the interaction between the parental genotypes (Zeh and Zeh 1996). This leads to a key difference between the good genes and compatibility explanations for female choice: while females will usually agree about which males carry good genes, the most compatible male

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Michael D. Thom  
University of Liverpool, Department of Veterinary Preclinical Sciences  
mthom@liv.ac.uk

01 will vary depending on the genotype of the choosing female. Parental genetic  
02 compatibility often improves fitness by increasing the genetic heterozygosity of  
03 offspring (but see also Zeh and Zeh 1996), a mechanism which is most familiar from  
04 the special case of inbreeding avoidance. In fact, preference for compatible partners  
05 and avoidance of inbreeding overlap considerably, since close relatives will often be  
06 genetically incompatible (Brown 1997). Here we discuss the detrimental effects of  
07 incompatibility, particularly inbreeding, and consider the behavioural mechanisms  
08 and signalling systems which may have evolved to avoid these costs.  
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## 12 **2 Genetic Compatibility and Inbreeding Avoidance**

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14 The main consequence of mating between genetically dissimilar partners is  
15 increased heterozygosity of offspring, which may itself be the primary advantage  
16 of sexual reproduction (Brown 1997). Genetic heterozygosity can influence fitness  
17 via two main mechanisms: either because it avoids unmasking any deleterious  
18 recessive alleles carried by either parent (the dominance hypothesis), or because het-  
19 erozygotes are inherently superior to homozygotes (overdominance: Charlesworth  
20 and Charlesworth 1987). Whatever the underlying mechanism, homozygosity  
21 is frequently detrimental to reproductive success, having been shown to reduce  
22 hatching success or embryo survival, litter size, and survival of offspring in captive  
23 studies (e.g. Ralls, Ballou and Templeton 1988; Keane, Creel and Waser 1996;  
24 e.g. Pusey and Wolf 1996; Bixler and Tang-Martinez 2006). Accumulating data  
25 support the idea that genetic similarity among mating partners also has negative  
26 effects on offspring number and survival in the wild (Stockley, Searle, Macdonald  
27 and Jones 1993; Coltman, Bowen and Wright 1998; Crnokrak and Roff 1999; Keller  
28 and Waller 2002; Slate and Pemberton 2002). The detrimental effects of inbreeding  
29 may continue into adulthood, with inbred individuals suffering a reduction in  
30 survival (Jiménez, Hughes, Alaks, Graham and Lacy 1994; Keller, Arcese, Smith,  
31 Hochachka and Stearns 1994; Coltman, Pilkington, Smith and Pemberton 1999),  
32 ability to hold territories (Meagher, Penn and Potts 2000), and reproductive success  
33 (Keller 1998; Slate, Kruuk, Marshall, Pemberton and Clutton-Brock 2000; Seddon,  
34 Amos, Mulder and Tobias 2004).

35 Given these costs, we would expect the evolution of behavioural mechanisms  
36 to reduce the risk of inbreeding. In some species, this is achieved by sex-biased  
37 dispersal: members of one sex predominantly leave the natal range and hence  
38 minimize the likelihood of encountering relatives as mates (Pusey 1987; Pusey  
39 and Wolf 1996). By contrast, species without sex-biased dispersal, and particu-  
40 larly those with aggregated population structures, are likely to risk mating with  
41 close relatives. Such species should benefit from kin recognition systems that  
42 allow them to recognize and avoid close kin as mates. Active mate preference  
43 for non-kin does indeed appear to be widespread, and has been demonstrated in  
44 numerous groups including insects (Simmons 1991), marsupials (Parrott, Ward and  
45 Temple-Smith 2007), rodents (Krackow and Matuschak 1991; Keane et al. 1996;

01 Ryan and Lacy 2003), and primates (Smith 1995; Soltis, Mitsunaga, Shimizu,  
02 Yanagihara and Nozaki 1999). This preference can be very strongly expressed:  
03 females of some species choose not to mate at all when no unrelated males are  
04 available (woodpeckers: Koenig, Stanback and Haydock 1999; mole rats: Cooney  
05 and Bennett 2000; meerkats: O’Riain, Bennett, Brotherton, McIlrath and Clutton-  
06 Brock 2000).

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### 3 The Role of MHC in Mate Choice

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12 Behavioural avoidance of inbreeding requires a mechanism for reliably identify-  
13 ing kin, a signal which should be easily detectable, polymorphic and heritable.  
14 Much work in vertebrates has focussed on the role of the major histocompatibility  
15 complex (MHC), a highly polymorphic region of the vertebrate genome which is  
16 associated with specific odours in a range of species. Numerous studies on rodents  
17 have demonstrated their ability to discriminate odours associated with the MHC  
18 (Yamaguchi, Yamazaki, Beauchamp, Bard, Thomas and Boyse 1981; Yamazaki,  
19 Beauchamp, Bard, Thomas and Boyse 1982), including odour changes resulting  
20 from only single amino-acid substitutions (Yamazaki, Beauchamp, Egorov, Bard,  
21 Thomas and Boyse 1983), although not all differences are detectable (Carroll, Penn  
22 and Potts 2002), Sensitivity to MHC-associated odours has also been suggested  
23 for other species including sticklebacks (Reusch, Häberli, Aeschlimann and Milin-  
24 ski 2001), salmonids (Olsén, Grahn, Lohm and Langefors 1998), lizards (Olsson,  
25 Madsen, Nordby, Wapstra, Ujvari and Wittsell 2003), rats (Schellinck, Slotnick and  
26 Brown 1997) and humans (Wedekind, Seebeck, Bettens and Paepke 1995; Thorn-  
27 hill, Gangestad, Miller, Scheyd, McCullough and Franklin 2003). Although many  
28 experiments have focussed simply on discriminability of MHC odours, there is also  
29 some evidence that these odours affect mate choice in MHC congenic laboratory  
30 mouse strains. In some highly inbred pairs of strains that are genetically identical to  
31 one another except at MHC, members of both sexes prefer to mate with partners with  
32 a different MHC type to self (Yamazaki, Boyse, Miké, Thaler, Mathieson, Abbott,  
33 Boyse, Zayas and Thomas 1976; Egid and Brown 1989). The high degree of poly-  
34 morphism at MHC, together with the observation that this is associated with easily  
35 discriminable odours, and evidence for an effect of MHC on mate choice, have led  
36 to the suggestion that the MHC may provide a general mechanism of individual  
37 (e.g. Brennan and Kendrick 2006) and kin (e.g. Brown and Eklund 1994) recog-  
38 nition across vertebrates used in the context of mate choice. Recent physiological  
39 work has highlighted direct detection of MHC peptides as a possible mechanism by  
40 demonstrating that receptors capable of detecting MHC peptides exist in the main  
41 olfactory epithelium (Spehr, Kelliher, Li, Boehm, Leinders-Zufall and Zufall 2006)  
42 and vomeronasal organ (Leinders-Zufall, Brennan, Widmayer, Chandramani, Maul-  
43 Pavicic, Jager, Li, Breer, Zufall and Boehm 2004).

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It is frequently suggested that animals choose mating partners with comple-  
mentary MHC type to self because of the resulting increase in offspring genetic

01 heterozygosity specifically at this region (Jordan and Bruford 1998; Tregenza  
02 and Wedell 2000; Milinski 2006). As the primary role of the MHC is in the  
03 adaptive immune system, relatively MHC heterozygous offspring should experi-  
04 ence improved pathogen resistance as a result of their ability to recognize a greater  
05 range of foreign peptides (Penn, Damjanovich and Potts 2002). This mechanism  
06 of responding to an unpredictable disease environment is hypothesised to be a  
07 major force in maintaining the MHC's extraordinary levels of polymorphism  
08 (Doherty and Zinkernagel 1975; Hughes and Nei 1988). However the expected  
09 relationship between MHC heterozygosity and adaptive immune performance has  
10 received mixed support. Some studies have supported the assumption of a link  
11 between MHC diversity and fitness, reporting greater resistance (Penn et al. 2002),  
12 lower pathogen loads (Wegner, Kalbe, Kurtz, Reusch and Milinski 2003; Kurtz,  
13 Kalbe, Aeschlimann, Häberli, Wegner, Reusch and Milinski 2004), and higher  
14 survival (Westerdahl, Waldenström, Hansson, Hasselquist, Von Schantz and Ben-  
15 sch 2005) at high levels of heterozygosity. Others have failed to find evidence that  
16 MHC heterozygosity influences immunity (Paterson, Wilson and Pemberton 1998;  
17 Langefors, Lohm, Grahn, Andersen and von Schantz 2001; Wedekind, Walker and  
18 Little 2006), or have found the opposite effect. For example, mice heterozygous for  
19 MHC performed less well than the homozygote mean when infected with malaria  
20 (Wedekind, Walker and Little 2005). Much of the evidence for a link between MHC  
21 heterozygosity and ability to combat disease comes from correlational studies,  
22 which are generally hampered by the strong link between MHC and background  
23 genetic diversity. Failing to control for this correlation means that observed effects  
24 could be due simply to genome-wide heterozygosity rather than to the specific  
25 effects of MHC (Penn et al. 2002). Indeed, a recent study on wild fish populations  
26 found background genetic heterozygosity to be significantly associated with reduced  
27 parasite load, while MHC diversity was not (Rauch, Kalbe and Reusch 2006). This  
28 link to background genetic diversity has an influence on other aspects MHC's role,  
29 as we discuss further below.

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#### 32 **4 The Confounding Influence of Genetic Background**

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34 In natural populations, the level of MHC sharing between a given pair of individ-  
35 uals is normally correlated with their overall similarity across the whole of the  
36 genome. This link could enable animals to use the highly variable and detectable  
37 MHC to act as a marker of overall genetic relatedness. Whereas the evidence for  
38 MHC homozygosity remains ambiguous, inbreeding can have devastating fitness  
39 consequences. Given the costs of mating with close kin, the primary role of MHC  
40 in mate choice might be to facilitate avoidance of inbreeding more generally, rather  
41 than at the MHC itself (Potts, Manning and Wakeland 1994). High levels of MHC  
42 polymorphism ensure that individuals in a normal outbreeding population are likely  
43 to share a large proportion of their MHC only with very close relatives; disassor-  
44 tative mating would provide the substantial benefits of inbreeding avoidance, while  
45 simultaneously ensuring genetic diversity at the MHC.

01 Whether the role of MHC in mate choice is related specifically to its involvement  
02 in immune defence, or because this region is a reliable signal for overall genetic  
03 similarity, the failure of most studies to separate the effects of MHC and genetic  
04 background means that any observed effect of MHC could be an artefact of exper-  
05 imental design. Much of the evidence for the role of MHC in mate choice comes  
06 from studies demonstrating a deficit of MHC homozygotes in natural (e.g. Landry,  
07 Garant, Duchesne and Bernatchez 2001) or artificial (e.g. Potts, Manning and Wake-  
08 land 1991) populations, an observation consistent with disassortative mating at  
09 MHC. However, because MHC homozygotes are most likely to result from mat-  
10 ings between close kin, underproduction of these individuals could be a by-product  
11 of general inbreeding avoidance rather than a direct consequence of MHC-based  
12 disassortative mating. Assuming that inbreeding avoidance is generally important,  
13 the signal used to assess and reject genetically similar mates could be encoded in  
14 genes completely unrelated to MHC, with the intrinsic correlation between MHC  
15 and the rest of the genome ensuring that MHC homozygotes are rare in normally  
16 outbreeding populations. It is only possible to assess whether MHC is important for  
17 mate choice by disentangling this from the rest of the genome, something most stud-  
18 ies have so far been unable to achieve. Attempts to separate MHC and background  
19 generally use laboratory-derived strains, and despite their widespread acceptance in  
20 the literature, the results obtained by this method have generally been ambiguous  
21 or contradictory. For example, the early studies of Yamazaki et al. (1976; 1978)  
22 are usually cited in support of MHC disassortative mating, but these studies also  
23 found consistent MHC assortative mating in one strain, and assortative mating has  
24 also been reported in another laboratory strain (Andrews and Boyse 1978). A more  
25 fundamental limitation in experiments controlling for background genes arises from  
26 the tendency to use pairs of highly inbred strains differing only at MHC: it is perhaps  
27 unsurprising that, given no other source of genetic variation, females sometimes  
28 choose males which differ from them at the only variable loci. These experiments  
29 have not adequately tested whether females will respond to *any* variable genetic  
30 region against a uniform background. However, evidence from more naturalistic  
31 studies involving wild animals is limited by the general failure to control adequately  
32 for the correlation between background genetic heterozygosity (or other genetic  
33 markers) and MHC. For example, overall genetic relatedness in wild populations  
34 is often quantified by counting the number of shared markers at microsatellite loci.  
35 This method requires examination of relatively large number of loci to minimize  
36 misclassification errors (Blouin, Parsons, Lacaille and Lotz 1996), but many stud-  
37 ies actually use very few markers (e.g. 5 in the case of Landry et al. 2001). Other  
38 workers have attempted to get around these problems by producing semi-natural  
39 populations from captive-bred stock with known genotypes. Potts et al. (1991) tested  
40 reproductive success in relation to MHC matching using mice partly derived from  
41 wild stock. However in these animals 50% of the genome (including the MHC)  
42 originated from highly inbred strains and, because individual parentage could not  
43 be assigned, it was not possible to assess whether the deficit in MHC homozy-  
44 gotes found in this study was due to the use of non-MHC signals used to avoid  
45 inbreeding.

## 01 **5 Alternative Signals in Inbreeding Avoidance**

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03 The almost universal acceptance of MHC as a significant factor in vertebrate mate  
04 choice (Jordan and Bruford 1998; Penn 2002; see reviews in: Bernatchez and  
05 Landry 2003; Brennan and Kendrick 2006; Milinski 2006), despite inconsistent  
06 results and the failure to control adequately for background genes, has resulted  
07 in a deficit of research on other markers that might be used to avoid inbreed-  
08 ing. House mice, *Mus musculus domesticus*, have evolved a species-specific poly-  
09 genic and highly polymorphic gene complex coding for major urinary proteins  
10 (MUPs), which are excreted in large quantities in the urine and form a substan-  
11 tial component of this species' scent signals. MUPs are known to play a key role  
12 in self-nonsel self recognition (Hurst, Payne, Nevison, Marie, Humphries, Robertson,  
13 Cavagioni and Beynon 2001; Hurst, Thom, Nevison, Humphries and Beynon 2005)  
14 and are used by females to identify individual males from their competitive scent  
15 marks (Cheetham, Thom, Jury, Ollier, Beynon and Hurst 2007). MUPs have all  
16 the characteristics that make the MHC so intuitively appealing as a marker of  
17 kinship, including coding by a complex of tightly linked genes that are inher-  
18 ited together as a haplotype. However MUPs differ from MHC in being pro-  
19 duced in large quantities in the liver and excreted at high concentration in urine  
20 (Humphries, Robertson, Beynon and Hurst 1999; Beynon and Hurst 2003) before  
21 being deliberately deposited extensively in the environment via scent marking.  
22 This makes them a widespread and easily detectable signal linked to genome-wide  
23 genetic heterozygosity. If mice benefit from avoiding inbreeding, as they clearly  
24 appear to (e.g. Meagher et al. 2000), we would expect them to mate disassor-  
25 tatively with respect to MUP in order to avoid mating with close kin, leading  
26 inevitably to a parallel reduction in homozygosity at MHC. However if the fit-  
27 ness benefits derived from heterozygosity specifically at the MHC are of greater  
28 importance than inbreeding avoidance, MHC odours should be used in prefer-  
29 ence. MUPs thus provide an ideal control system for identifying experimentally  
30 the MHC's role in mate choice, since they provide an equivalent polymorphic,  
31 polygenic signal closely linked to background genetic heterozygosity but with no  
32 implications for immune function. We are taking advantage of this system and  
33 breeding wild-derived populations of mice in which the relative contributions of  
34 different genetic components are controlled, allowing us to test for the first time the  
35 independent contributions to mate choice of MUP, MHC, and overall relatedness.  
36 Allowing these animals to breed in semi-natural enclosures has demonstrated that  
37 mice use MUP sharing to avoid mating with close kin. Indeed, the deficit in mat-  
38 ing with mice of the same MUP type is sufficient to explain entirely the observed  
39 level of inbreeding avoidance, with MHC sharing having no detectable influence  
40 on mate choice (Sherborne, Thom, Paterson, Jury, Ollier, Stockley, Beynon and  
41 Hurst 2007). Previous studies have been unable to control these three compo-  
42 nents independently, leaving open the possibility that previously observed deficits  
43 in MHC homozygotes in freely-breeding populations of mice could result from  
44 the avoidance of mates with the same MUP type. Work on laboratory strains  
45 has not investigated the role of MUPs in inbreeding avoidance, probably because

01 variability in MUPs has been largely eliminated in inbred laboratory mice (Robert-  
02 son, Cox, Gaskell, Evershed and Beynon 1996), and no MUP-congenic strains cur-  
03 rently exist.

04 The evolution of this highly polymorphic and species-specific signal suggests  
05 that the use of MHC-associated odours in inbreeding avoidance may not be as  
06 widespread as is often assumed, particularly as the main evidence for a direct link  
07 between MHC scents and disassortative mating came from mouse studies. This does  
08 not rule out the possibility that MHC plays a role in mate choice and this may vary  
09 between species, but at present evidence remains ambiguous. A possible exception  
10 is the evidence for MHC allele counting in fish (Reusch et al. 2001; Aeschlimann,  
11 Häberli, Reusch, Boehm and Milinski 2003). The MHC of fish differs from that of  
12 tetrapods as these genes are organised in at least two separate linkage groups rather  
13 than occurring in a single tight complex (Sato, Figueroa, Murray, Malaga-Trillo,  
14 Zaleska-Rutczynska, Sultmann, Toyosawa, Wedekind, Steck and Klein 2000). Nev-  
15 ertheless, to demonstrate that MHC is of greater importance than the rest of the  
16 genome in determining mate choice, these studies still need to control adequately for  
17 background genetic relatedness to eliminate the possibility of a correlation between  
18 MHC and the marker actually used for inbreeding assessment.

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## 22 **6 Conclusions**

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24 The negative consequences of inbreeding for survival and reproductive success  
25 are well documented, and emphasise the fitness benefits of genetic heterozygos-  
26 ity. While there may be particular advantages to genetic heterozygosity at some  
27 highly polymorphic loci, there is currently little evidence to support the idea that  
28 animals choose partners specifically to maintain diversity at such regions. Instead,  
29 heterozygosity at these polymorphic loci may be maintained by the mechanisms  
30 that sustain genome-wide heterozygosity. Nevertheless, such regions may be used  
31 to recognize close relatives and avoid inbreeding. The likely evolutionary benefits  
32 of MHC heterozygosity, coupled with the highly detectable odours associated with  
33 this region, have led to the intuitively obvious conclusion that females should choose  
34 mates that will increase offspring MHC heterozygosity. Because this seems such a  
35 plausible hypothesis, evidence that the MHC does indeed appear to influence mate  
36 choice has rapidly led to the widespread acceptance of MHC disassortative mating.  
37 However while it undoubtedly follows that such behaviour should benefit choosing  
38 females, the evidence remains decidedly mixed. Experiments using MHC congenic  
39 strains of mice have produced conflicting results, and in any case bias the likelihood  
40 of detecting an effect by removing all other sources of variation. Attempts to correct  
41 for this have generally run into the opposite difficulty of disentangling the tight  
42 link between MHC and background. As a result, it remains possible that the dis-  
43 assortative mating behaviours attributed to MHC are in fact a consequence of mate  
44 choice on the basis of alternative, largely untested, cues associated with avoiding the  
45 substantial costs of inbreeding. MUPs appear to be one such system in house mice,

01 but other signals of inbreeding avoidance may well be widespread. We propose that  
 02 evidence for MHC effects on mate choice needs to be more critically assessed, and  
 03 the scope of research into polymorphic signals extended beyond just the MHC.  
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