## University of Liverpool Concordat Implementation Plan 2011 – 2015 Progress Review as of September 2015

## A. Recruitment and Selection

**Concordat Principle 1:** Recognition of the importance of recruiting, selecting and retaining researchers with the highest potential to achieve excellence in research.

Actions 2011 & 2013	Progress achieved	Institutional Lead	2015-2017 proposed actions	Status
1.1 Quarterly monitoring of progress against Strategic and Operating Plan (priorities for implementation are reviewed annually)	The Concordat Implementation Group (CIG) has maintained its core and extended presence throughout the period of retaining the Award. Annually, CIG has updated the university's Research and Impact Committee and has maintained links with faculties through researcher representation and professional services staff	PVC for Research and Knowledge Exchange; Director of Research Partnerships & Innovation; Chair of Concordat Implementation Group	The new Strategic Plan of the university (2016) will highlight the priorities of the university's research and impact strategy. CIG will be incorporated in these plans in order to maintain continuous links with the implementation of the Concordat. The development and support for research staff will continue to be a vital part of the implementation of the strategy Success Measure: Continuation of CIG work in the new structure of the	Ongoing (quarterly in 2016 and 2017); an annual review will take place at the end of each year (December 2016, repeated again in December 2017)
1.2 The University is in the process of reviewing its approach to recruitment and selection, to ensure that selection procedures are sufficiently robust and effective in attracting excellence.	Back in 2012, the university achieved this action. However, since then further reviews have taken place and relevant information and training has been developed for interviewers and line	Human Resources	The HR website will continue to be reviewed for its effectiveness to provide clear information to	Achieved 2012; further resources (online and training) developed 2014/2015
	managers. This includes the development of		researchers and other staff	

	how-to-guides on induction; dealing with grievances in the workplace; planning development ( <u>Human Resources Intranet</u> )		at the university. In addition, HR managers will continue to engage with research staff through planned workshops and the annual research staff conference	
1.3 The University will continue monitoring the use of fixed-term contracts for Research Staff	This action is constantly monitored and in order to allow contractual opportunities to be discussed and explored, all research staff and their PIs/Heads of Department are contacted by HR eight months before the contract's expiry date. A dedicated website on recruitment for all staff has been developed ( <u>Human Resources</u> <u>Intranet</u> ) with guidance, training opportunities and contacts information	Human Resources	The HR website provides relevant support and information on contracts and other employment topics. HR managers engage with research staff through planned meetings and as part of the events available through the development programme for research staff	Processes have been in place since 2012 to ensure appropriate consultation between research staff and PIs is taking place
<ul> <li>1.4 The university continues to engage in discussions with staff and trade unions on the topic of using fixed-term contracts. Relevant progress and policy is documented in the HR website</li> <li>HR Managers maintain a close awareness of the progress of redeployment cases and maintain consistent contact with individuals throughout the process</li> </ul>	The University contacts individuals whose fixed contracts are due to expire 8 months and their Pls/Head of Departments before the end date of their contract to ensure that individual consultation takes place and other options are considered in detail	Human Resources	The University will continue to manage this process and maintain close contact with faculties, unions and monitor development in the HE sector In addition, following the 2015 research staff conference, Human Resources, from 2016, will offer further support for PIs (through online resources and training events) so they are fully aware of contractual arrangements	This is an ongoing process and current position will be reviewed again in first half of 2016 (February to March) The PI development event will be launched in September 2016. A review of the programme will take place in June 2017

1.5 The university will change recruitment and selection policies to include recommendations over the composition of selection panels, and over the minimum requirement of feedback given to all shortlisted	As far as it is achievable, at least one female member of staff will be in presence in selection panels. This position applies to promotion panels as well	Human Resources	and utilise their resources (including induction; PDR; redeployment procedures) for the benefit of their researchers by engaging them in meaningful discussions and offer ongoing support Success Measure: positive response from Pls on the effectiveness of the new programme and enhancement of their practice following the analysis of the course evaluation forms For promotion panels, in addition to Diversity and Equality training that members are receiving already, reviewers will also receive training on 'unconscious bias' (from end of 2015) Success Measure: full compliance	This position has been agreed and relevant information is available on the website
1.6 The University is considering changes to procedures for promotion and progression, including over the composition of panels	The use of HERA roles provides a framework for research staff to be promoted	Human Resources	Human Resources will provide a process by which individual researchers can bring forward their own cases for promotion	Process under development; first expected announcement by February 2016

1.7. An obligatory training framework has been	The obligatory training framework continues to	Human Resources	The new information	Further updates early in April
developed at the university to outline role	be reinforced through discussions at induction		management system that	2016
specific training to meet legal requirements. This	events and PDRs. Information on the website		the university introduced	
expectation is communicated to all staff at the	has been updated and provides relevant		earlier in 2015 will allow	
welcome event as part of the induction process.	information		members of staff to	
Ongoing review of the effectiveness of these			monitor the training	
modules will take place			elements they have	
			completed as part of the	
			obligatory training	
			framework	

## B. Recognition and Value

**Concordat Principle 2:** Researchers are recognised and valued by their employing organisation as an essential part of their organisation's human resources and a key component of their overall strategy to develop and deliver world-class research.

Actions 2011 & 2013	Progress achieved	Institutional Lead	2015-2017 proposed	Status
			actions	
2.1 Use of CROS and PIRLS surveys to monitor progress and opinions of research staff and their line managers	The university continues to participate to both surveys and has developed reporting mechanisms for data collected to be analysed and disseminated to university committees and reps of research staff associations	Human Resources; Educational Development Division; Research Policy	The expectation to participate to future CROS/PIRLS surveys	2015 surveys have been completed and data has been analysed and reported The university is expected to participate to the 2017 surveys
2.2 The PDR process is currently being revised to enhance its effectiveness as a tool for individual development.	1. The PDR has been online since 2011/2012. The university continues to invest in the way PDRs take place and monitors its effectiveness towards research staff development	1. Human Resources	1.a Departments in January and February of each year will set dates for every member of staff to have their PDR. PDRs will be undertaken and will have to be completed by September of that year.	1.a Starting in January 2016

			Thematic analysis of data collected will be made and appropriate actions will be taken in relation to promotions, allocation of resources for developing staff or dealing with highlighted issues 1.b Through the PDR process, PIs will be	
	2. The training of PDR reviewers has gone online since 2013	2. Human Resources	supported to engage with their research staff to develop appropriate research plans which will then be added to the Portfolio of Activity 2. Supplementary face to face training for PDR reviewers will be made available Success Measure: All PDR reviewers are trained	1.b Starting February 2016 2. From February/March 2016
2.3 The university will monitor and review related development programmes for PIs to ensure that enhancement of existing practice in relation to research career development and supervision is appropriate and relevant to the needs of research staff	A structured development programme for PIs has been developed to support them in their roles as research managers in relation to their responsibilities for management of researchers A Researcher Hub website was launched in 2014 and provides information for PIs in relation to university based support mechanisms as well as	Human Resources	As a result of the 2015 research staff conference and from information gathered from CROS and PIRLS (2013/2015), the university will offer broader training with PIs about how they manage their research staff. The broader training will include areas identified	From September 2016

	sector wide information that is relevant		in both surveys relating to	
	sector wide mornation that is relevant			
			employment relations and	
			how staff should be	
	Data collected from the 2015 PIRLS survey will		treated; engagement in	
	inform the changes made to the support		formal consultations when	
	available for PIs		contracts are coming to an	
			end or as part of induction	
			of newly appointed	
			research staff.	
			Information available on	
			the Researcher Hub	Two planned reviews in January
			website will be reviewed to	2016 and January 2017;
			ensure its clarity and	including updates to content and
			relevance	structure of the website
				structure of the wessite
			Success Measure: maintain	
			the website as a valuable	
			resource for all researchers	
			and recognise its role as a	
			one-stop shop	
		<b>F</b> 111 11		
2.4 Develop better workforce planning for the	The faculty of Health and Life Sciences has	Faculties; Human	Review of the effectiveness	In progress as part of discussions
identification and retention of key researchers.	operated a tenure-track fellowship scheme in	Resources	of the scheme and how	taking place with each faculty's
	order to nurture future academic leaders.		staff going through these	senior management; further
	Existing research staff have been successful in		fellowships meet review	information to be made
	gaining these fellowships that after five years in		criteria will continue to	available from June 2016
	post and following review of progress made		take place. The University is	
	against specific criteria, can lead to tenured		looking into ways to	
	academic posts. The faculty of Science and		identify internal funding	
	Engineering is developing a similar scheme		streams to attract and	
			sponsor high profile	
			researchers from the	
			faculty of Humanities and	
			Social Sciences as part of a	
			similar scheme to that used	

			in the other two faculties Success Measure: Expand the scheme in the other two faculties and continue to attract high calibre candidates	
2.5 Workforce planning is currently held back by the constraints of our existing IT systems. We are currently considering options for addressing these issues, to allow better Management Information and a greater responsiveness in securing and managing research posts.	A working group on work force planning has been established in order for university wide planning templates and IT processes to be developed. Relevant metrics that underpin these templates and information needed are under development	Human Resources	The design and development of templates is about to begin	To be in place from start 2016/2017 academic year
2.6 The PDR is used to identify possible career development opportunities. The discussion at the PDR should highlight all the options that exist for academic career opportunities	Continue effectively to communicate development opportunities to Researchers, PIs, HoDs and School Managers	Human Resources	No further action	Completed in October 2014
2.7 The University continues to develop the role expectations for researchers. Discussions with trade unions are in progress. The aim is to develop role expectations that are meaningful across broad areas of specialism	Researchers are made aware, through the relevant websites, of advertised posts and they are required to demonstrate	Human Resources	The University is developing role expectations documentation to embrace the full range of academic career pathways, including researchers	Processes to continue and progress made to be reviewed at the end of 2016 (November/December)

C. Support and Career Development				
Concordat Principle 3: Researchers are equipped and supported to be adaptable and flexible in an increasingly diverse, mobile, global research environment.				
Actions 2011 & 2013	Progress achieved	Institutional Lead	2015-2017 proposed actions	Status

3.1 A research staff development programme has been developed to offer a range of training opportunities in research related/transferable skills and specialist career advice.	The programme continues to be aligned to the Researcher Development Framework (RDF) and a skills needs analysis based on evidence collected from CROS continues to provide useful information on developing the effectiveness and reach of the programme	Educational Development Division; Human Resources; Concordat Implementation Group;	Continue to review the effectiveness of the programme based on feedback received from the CROS survey and the reps of research staff associations Based on the areas and priorities identified in the new strategic, the research staff development programme will be revised accordingly in terms of its aims and focus	Review to take place at the end of each academic year June 2015/2016; June 2016/2017. The review will include the analysis of feedback collected from training events and future CROS data (expected in May 2017)
3.2 All future development provisions will continue to be aligned to the RDF. Bimonthly workshops on the use of the RDF will continue to run to allow researchers to engage productively with the framework. At the institutional induction, new researchers will receive a pack that will contain information about the RDF and other relevant initiatives that are available at the University	This has been achieved fully; the bimonthly workshops continue to grow and researchers are given the opportunity to explore the RDF in small groups.	Educational Development Division	Embed relevant RDF content to the online PDR portal to make information easily available to PDR reviewers and reviewees (this will be done in partnership with Human Resources). This will allow for the reinforcement of the Concordat principles as part of the PDR process for PIs and research staff roles and responsibilities	January/February 2016 as part of the new information management system that was launched in 2015
3.3 Mentoring for research staff remains an important area that requires further development. Through the new information management system and work that is already in progress through Athena Swan Awards, mentoring initiatives will be developed further	Various mentoring models have been developed across the schools and institutes of the university. A central mentoring programme is in place in collaboration with the university of Manchester. Feedback from staff who have gone through this programme have been	Faculties; Schools and Institutes; Human Resources	Further development of mentoring programmes will continue to take place. Numbers in the central mentoring programme need to increase and	Process is ongoing; further review will take place from Spring 2016

over the next two years	positive about it		therefore further	
			communications and	
			resources will be added for	
			this to be achieved	
			Success Measure: Continue	
			to grow attendance to RDF	
			information workshops (as	
			shown in review report)	
			The university as a whole	
			and schools/institutes have	
			made significant progress in	
			achieving Athena Swan	
			awards and clear plans	
			have been put in place to	
			grow further in areas that	
			are yet to follow in	
			receiving the appropriate	
			award status. Further	
			information on progress	
			made since 2013 has been	
			added in the review report.	
			Institutional websites	
			provide appropriate	
			information that is	
			accessible to all staff across	
			schools and institutes	
3.4 Due to funding shortage, the provision of	The central development programme for	Faculties/research	A paper prepared by	Review of current position in
career advice for research staff has moved away	research staff continues to offer a range of	staff associations;	research staff through their	November 2015; follow up
from the University's careers office. In response,	workshops that address careers options. The	educational	research staff associations	discussions from January 2016;
new careers focused workshops are on offer by	implementation of the RDF allows for gaps in	development	(and a survey to collect	Further discussions and review
the central research staff development	the provision to be identified and dealt with as	division; concordat	relevant data) has been	of progress made will take place
programme and faculties. These workshops	effectively as possible. In addition, the presence	implementation	discussed at CIG. Further	at the next research staff
provide support for academic and non-academic	of research staff associations in schools and	group; Human	discussions will continue as	

career destinations	institutes of the university has created further opportunity for careers related events to take place	Resources	part of the review of the strategic plan in order to highlight the issue of systematic career support for research staff as an important area for further development	conference (June 2016)
3.5 The University is developing role expectations documentation to embrace the full range of academic career pathways; built into these plans is the expectation of movement between career paths, for example researchers moving to Teaching & Research roles or to Teaching & Scholarship, as appropriate	These are now in place for academic staff however for research staff the process is continuing in terms of reviewing the documents as a result of funding arrangements and opportunities for progression available at the institution. Relevant discussions should be facilitated through the PDR process	Human Resources	The university has developed role expectations (to be endorsed). This is a process under continuous review and appropriate changes are made so role expectations remain relevant and continue to reflect what researchers are doing in their jobs	Review to take place at the end of 2016 (November) and beginning of 2017 (by February)
3.6 The university will continue to engage with strategic initiatives relating to funding arrangements	The University will meet the needs and action plans of research councils as laid out in their strategy for employer engagement and the research environment	PVC for Research and Impact; Research Policy	The new strategic plan will set the priorities in relation to this area	Throughout 2016 following the development of the university's new strategic plan
3.7 Monitor the effectiveness of induction at all levels	A corporate e-induction is in place and provides new staff with an institutional overview and an introduction to the obligatory training framework. A representative from the research staff development programme attends these events and meets new research staff providing them with information about the development options available to them. Research Staff associations are running events to welcome new researchers to their local environments.	Educational Development Division; research staff associations in schools/institutes	Induction processes will continue to be monitored for their effectiveness	Ongoing process; first review to take place in February 2016; second review in September 2016

3.8 The University has developed what is now known as 'university values and a code of ethics' for all staff. Continuous monitoring and review of this work will take place to ensure relevance with university ambitions	These processes are in place	Human Resources	The code of ethics will be revisited regularly to ensure it represents current institutional expectations	Throughout 2016 as part of periodic review and in particular following the development of the university's new strategic plan; further review is expected early in 2017 (January/February)
3.9 Expand on European Research Council funding success	New processes are being developed to support research and academic staff in becoming more aware of funding opportunities at European level in order to increase funding success rates	Faculties; Research Policy	Implement a long-term strategy that will include ongoing support to European funding applicants and build internal capacity and expertise through support networks, mentoring, mock interviews and named points of reference Success Measure 1 - 2: Observe a clear increase in shortlisted and successful applications; Achieve shortlisted/successful applications in all faculties	First review of progress made September 2016; Further review of existing plans in first quarter of 2017

C. Support and Career Development (continued)				
Concordat Principle 4: The importance of researchers' personal and career development, and lifelong learning, is clearly recognised and promoted at all stages of their career.				
Actions 2011 & 2013	Actions 2011 & 2013     Progress achieved     Institutional Lead     2015-2017 proposed     Status			
			actions	
4.1 An accredited 'Teaching for Researchers'	The course remains popular, so much so that a	Educational	An evaluation project for	By April 2016 to check progress
qualification has been developed offering a	waiting list is in place as the course is available	Development	the course is currently in	made in relation to the

training opportunity to researchers to develop	to both research staff and research students.	Division	progress in order to	evaluation of the project.
teaching related skills. The course is accredited			establish the impact of the	Outcomes of the review will
by the Higher Education Academy			course to researchers'	inform the planning for the
			career progression.	2016/2017 academic year
			Success Measure: Publish	
			findings in IJRD academic	
			journal	
			Due to the demand for the	
			course a case for further	
			human resource to be	
			added to the existing	
			course team has been	
			made to the university and	
			is currently under review	
			,	
			Success Measure: Expand	
			the course team and	
			maintain current levels of	
			quality and participant	
			satisfaction; in addition the	
			course is up for	
			reaccreditation in 2016 – as	
			a result successful	
			reaccreditation should be	
			seen as a successful	
			outcome	
			outcome	
4.2 Membership with Vitae	The university has subscribed to the new	Concordat	Continue to engage and	Ongoing throughout 2016 and
·	, membership model of Vitae and continues to	Implementation	support where necessary	2017
	support relevant initiatives that happen on	Group	initiatives from Vitae in	
	regional and national level. A member of the		relation to research staff	
	Concordat Implementation Group is actively		development processes	
	engaging with the CROS/PIRLS Steering Group of		development processes	
	cheaging with the chosy rines steering droup of			

	Vitae			
4.3 Implement researchers' forums in all Schools and Institutes	Since 2013, six research staff associations have been developed offering opportunities for researchers' views to be represented via the Concordat Implementation Group	Research staff associations in schools/institutes; Concordat Implementation Group	<ul> <li>a. Continue to work closely with research staff</li> <li>associations in order to collect relevant information</li> <li>and inform future</li> <li>developments in</li> <li>supporting research staff</li> <li>b. As a result of the positive</li> <li>developments in the way</li> <li>research staff associations</li> <li>have been developed and</li> <li>the way the engage with</li> <li>central services, a new</li> <li>training theme will be</li> <li>offered to representatives</li> <li>of researchers on</li> <li>understanding the wider</li> <li>university and</li> <li>communicating views and</li> <li>representing their peers</li> </ul>	a. Throughout 2016; first review of progress made in February 2016 during extended CIG meetings; Similar model to be maintained throughout 2017 (second review of progress in February 2017) b. Currently under development; launch is expected in February 2016
4.4 Research staff have been actively consulted in the development of the University's Strategic Plan and in a recent Strategic review (2011). They are represented on committees in Schools and Institutes, but practice is clearly uneven here.	This process remains relevant and important. Findings from previous CROS and PIRLS surveys have been reported to university committees (Research and Impact Committee) and as part of discussions between faculty representatives and the Concordat Implementation Group	PVC for Research and Impact; Concordat Implementation Group; Research Policy	The development of the new strategic plan (2015/2016) for the university allows for all research staff to engage with consultation processes that have been put in place across the university In addition, the analysis of the CROS and PIRLS surveys have been disseminated in	Throughout 2016 as part of the development of the new strategic plan

	relevant university	
	committees for further	
	discussion and in order to	
	identify good practice and	
	issues that exist in the way	
	PIs and research staff are	
	supported and developed	

D. Researchers' responsibilities Concordat Principle 5: Individual researchers share the responsibility for and need to pro-actively engage in their own personal and career development, and lifelong learning.				
Actions 2011 & 2013	Progress achieved	Institutional Lead	2015-2017 proposed actions	Status
5.1 The University's has established a number of centres of specialist expertise, focused on meeting the requirements of business, industry and public sector organisations. We also have a range of facilities and laboratories which organisations can use on a one-off or regular basis.	This process remains relevant and appropriate workshops have taken place exploring links with industry; commercialisation of research; exploitation of IP and patenting. The university has appointed an Enterprise Coordinator (since 2014) and new developments in this area, including events will start appearing in 2016	Educational Development Division; Business Gateway; PVC's Office	Continue to develop appropriate support in the area of entrepreneurship and establishing partnerships with industry and businesses. Evaluate the benefit of this activity in terms of offering career alternatives and relevant support for researchers as part of their career development	Throughout 2016 and following the development of the university's new strategic plan
5.2 The revised Portfolio of Activity encourages all research active staff to develop their research plans and identify new areas for development. These plans should form the basis for discussions to take place at individual research	The Portfolio of Activity is in place and provides the space for this information to be captured	Human Resources	Continue to support PIs and research staff to utilise their research planning meetings and PDRs for developing future plans in	Throughout 2016 (launch of Pl support programme in September 2016) and following the development of the

planning meetings and the PDR			terms of their research and wider career plans and priorities	university's new strategic plan
<ul> <li>5.3 The University is committed to maintaining high ethical standards in the research undertaken by its staff and students.</li> <li>Research ethics feature in new staff induction, and in the Research Leaders Programme. The University offers training courses in how to publish research, which includes ethical issues.</li> </ul>	This process remains relevant and appropriate workshops have taken place exploring ethics and research governance A new Research Data Management process and website has been developed with relevant information, videos and training events being made available to all research staff	Concordat Implementation Group; Legal, Risk and Compliance; CSD	Promote further ethics and RDM initiatives as part of the university's expectations in maintaining high standards in these areas Success Measure: Successful appointment of RDM manager to continue with the development of support events for all researchers	Throughout 2016 and 2017; this process will be under continuous review on a quarterly basis
5.4 A range of development opportunities and support services are in place for Research staff. All these options are highly recommended and ultimately it is up to the researcher to decide whether to engage or not. The existing support is regularly reviewed and research staff are invited to offer feedback and make suggestions for improvement where necessary.	This position remains unchanged and the central research staff development programme has been supplemented by development events taking place through the research staff associations in schools and institutes	Educational Development Division; Research staff associations in schools/institutes	Continue to work closely with research staff associations in order to collect relevant information and inform future developments in supporting research staff	First review to take place in January 2016; second review and reflection on progress made a year later (January 2017)

D. Diversity and Equality				
Concordat Principle 6: Diversity and Equality must be promoted in all aspects of the recruitment and career management of researchers.				
Actions 2011 & 2013         Progress achieved         Institutional Lead         2015-2017 proposed         Status				Status
			actions	

<ul> <li>6.1 To assure fairness, consistency and the best assessment of the candidate's potential, the University has in place the following procedures (the following policies are now incorporated under the Equalities Act):</li> <li>Positive Action Statement</li> <li>Dignity at Work and Study Policy</li> <li>Race Equality Scheme</li> <li>Gender Equality Scheme</li> <li>Age Equality Policy Statement</li> <li>Disability Equality Policy</li> <li>Sexual Orientation Equality Policy Statement</li> <li>Two ticks Disability Scheme</li> <li>The University has signed the Mindful Employer's Charter for Employers Who Are Positive about Mental Health</li> <li>The University is taking part in the Stonewall Workplace Equality Index, a tool used to</li> </ul>	The university maintains its active engagement with these procedures. In addition, the university has, since 2014, launched a Wellbeing framework as a result of the work done by various working groups representing key stakeholders from across the university, the Guild and external partners. Since April 2015, the university has also developed, for all staff, a shared parental leave policy for staff working at the university (or one parent working elsewhere). Staff are eligible for this leave from the day the join the university	Human Resources	Continue to monitor the implementation of these policies; unconscious bias training will be introduced from 2016 for all recruitment and promotion review panels	Ongoing throughout 2016 and 2017; review in January of each year
<ul> <li>orientation equality</li> <li>6.2 The University has in place information and processes on Health and Welfare of employees</li> <li>Every policy in the University is impact assessed.</li> <li>6.3 The University is developing a Workload Balancing Tool, linked to the Portfolio of Activity and PDR; this will allow for a better calculation</li> </ul>	Continuous monitoring of the implementation of all procedures on Diversity and Equality of Opportunity Information at induction events has been revised to ensure new researchers are aware of these processes and are enabled to raise concerns This project is still in progress and further work will take place throughout the year (2016)	Human Resources Human Resources	Continue to monitor the implementation of these policies and review information available on the website A universal model on workload management will	Ongoing throughout 2016 and 2017; review in January of each year Ongoing throughout 2016 and 2017; review in January of each

of workloads and ensure that all academic staff's workloads are within safe limits.			be acquired	year
6.4 The University of Liverpool has been awarded the 'Two Ticks' disability symbol by the Jobcentre Plus for its proactive approach and positive commitment towards the employment, retention, training and career development of disabled employees.	The University continues to engage with this process	Human Resources	Ongoing process	Ongoing throughout 2016
6.5 The University is actively engaged with Athena Swan	As of November 2013, the university has achieved the Athena SWAN Bronze award. From 2013 till 2014, three research institutes achieved Silver awards. Moreover, from 2013 to 2015, six Schools and Research Institutes have been successful in receiving Bronze awards	Human Resources; Faculties/Schools/In stitutes	Continue to offer support to schools/institutes developing processes to apply for the award Success Measure: All successful schools and institutes renew their applications	Ongoing throughout 2016 and 2017 based on new submissions and renewals of existing awards

## E. Implementation and Review

**Concordat Principle 7:** The sector and all stakeholders will undertake regular and collective review of their progress in strengthening the attractiveness and sustainability of research careers in the UK.

Actions 2011 & 2013	Progress achieved	Institutional Lead	2015-2017 proposed	Status
			actions	
7.1 The University is establishing a Concordat	The Concordat Implementation Group has	Concordat	The current development	Throughout 2016 and following
Implementation Group to review all aspects of	continued to function throughout the four year	Implementation	of a new strategic plan will	the development of the
the University's compliance and to maintain an	period. A core group of four members has been	Group	provide new opportunities	university's new strategic plan
action plan. It also has responsibility for	meeting on a monthly basis to maintain the		and new structures in order	
engaging in national developments, debates and	implementation of the action plan. Three times		to continue developing	
initiatives in relation to the Concordat and the	a year, an extended group with input from		processes to support	

support and development of researchers	professional services divisions, faculties and representatives of research staff associations, meet to discuss progress made, identify good		research staff and their PIs at the university	
	practice and plan for future actions			
7.2 The University is committed to the	The University has continued to engage with	Concordat	Members of the Concordat	Throughout 2016 and following
implementation of existing practice as described	national initiatives (subscription to new Vitae	Implementation	Implementation Group will	the development of the
in previous paragraphs in the pages of this	model was taken place) and relevant support	Group; Research	continue to engage with	university's new strategic plan
action plan and the submitted report	networks (liaising with other institutions;	Policy	relevant national initiatives	
	regional hubs and national steering groups)		by attending/presenting at	
The University collects data on staff on the			conferences and	
following equality strands – age, gender,	Over the past four years of the implementation		contributing to working	
disability, race, religion and belief, sexual	of the HR Excellence in Research action plan, the		groups	
orientation. We use this data to inform our	university has continued to collect data from the			
Diversity and Equality action plans and it is	CROS and PIRLS surveys and the analysis of data		The current development	
available as management information to	has been communicated to university		of a new strategic plan will	
support all policy development	committees.		provide new opportunities	
			and new structures in order	
The University continues to monitor research	The Concordat Implementation Group has		to continue developing	
staff and PIs' opinions in relation to the research	continued to function and review progress made		processes to support	
environment and staff development through the	in the area of research staff support and		research staff and their PIs	
CROS/PIRLS surveys	development		at the university	