

Export Control and End User Assessment flowchart

This flowchart is to help you assess whether Export Controls (EC) apply to your work/activity/project or if there are 'end user' concerns. For more detailed information please visit the Export Controls webpage and contact irec@liverpool.ac.uk if you have 1. Are any 'Items' (goods, technology, software or information) further questions or wish to submit an EC licence application. being exported on the "UK Strategic Export Control List". Use the Goods Checker tool to do a key word search. Am I exporting as part of my research or teaching activities? AND/OR Consider: 2. Do any Sanctions or Embargoes apply to an entity I am working Transfer or disclosure of tangible or intangible materials, technology or YES with? information by any means to any recipient outside the UK. Any collaborators (including funders) overseas either now or in the future YES Travel overseas as part of the activity Are any PG level students receiving information, technology or materials Exports of Software and Technology could be whilst overseas? exempt, do any of the exemptions apply? NO • Technology, data or know-how already in NO the public domain Do I know, or suspect, that any participants (staff, students, collaborators, • Technology, data or know-how which is ultimate end user) intend to use material or information for Military or WMD basic scientific research purposes? YES Technology, data or know-how (basic This refers to end-use concerns and red flags. information) to file a patent application. NO Are any items (goods, technology, software) subject to US NO export controls? (a US collaborator should be able to advise you of this) UK Export Controls and/or other restrictions apply. NO Please follow the guidance online and contact Export Controls do not apply. Proceed in accordance with any law, UoL policies irec@liverpool.ac.uk to submit an enquiry with a view to and funding stipulations. applying for an export control licence. If your research or teaching changes, or relevant collaborators/organisations involved change, re-assess whether export controls will then apply.